

# Ultrasound-based carotid stenosis measurement and risk stratification in diabetic cohort: a deep learning paradigm

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**Background:** Stroke is in the top three leading causes of death worldwide. Non-invasive monitoring of stroke can be accomplished via stenosis measurements. The current conventional image-based methods for these measurements are not accurate and reliable. They do not incorporate shape and intelligent learning component in their design.

**Methods:** In this study, we propose a deep learning (DL)-based methodology for accurate measurement of stenosis in common carotid artery (CCA) ultrasound (US) scans using a class of AtheroEdge system from AtheroPoint, USA. Three radiologists manually traced the lumen-intima (LI) for the near and the far walls, respectively, which served as a gold standard (GS) for training the DL-based model. Three DL-based systems were developed based on three types of GS.

**Results:** IRB approved (Toho University, Japan) 407 US scans from 204 patients were collected. The risk was characterized into three classes: low, moderate, and high-risk. The area-under-curve (AUC) corresponding to three DL systems using receiver operating characteristic (ROC) analysis computed were: 0.90, 0.94 and 0.86, respectively.

**Conclusions:** Novel DL-based strategy showed reliable, accurate and stable stenosis severity index (SSI) measurements.

**Keywords:** Atherosclerosis; carotid stenosis severity index (carotid SSI); reliable; accurate; deep learning (DL); performance

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#### Introduction

Annually, 15 million people suffer from stroke worldwide. Amongst them, 5 million dies and the other five million are permanently disabled, thereby placing a heavy economic burden on their families and the nation (1). In the United States, the stroke figure stands at around 795,000 people annually, i.e., every 40 seconds one person suffers from stroke (2). The direct cost of medical care and treatment is approximately 33 billion United States dollars, and the



**Figure 1** Anatomy of the common carotid artery and stenosis due to formation of plaque (Courtesy of Atheropoint<sup>TM</sup>).

indirect cost due to lost productivity is 20.6 billion United States dollars (1,2).

Stroke is caused by the obstruction of blood flow due to the narrowing (stenosis) of blood vessels of the carotid artery or cerebrovascular arteries. This narrowing is caused by the formation of plaque in the near and far walls of the carotid artery, as shown in *Figure 1*. This formation of plaque is due to a vessel disease called atherosclerosis (3,4). As the disease progresses with time, it causes shear stress on the arterial cap of the vessel walls causing rupture leading to thrombosis and stroke (5-7). There are many possible reasons for atherosclerosis, categorized into internal and external factors. The internal factors include genetics (8-10), age (11,12), obesity (13), hypertension (14), nutrition (15), smoking (16), diabetes mellitus (17), alcohol usage, and physical inactivity (18). The main external factor is pollution (19).

Non-invasive imaging techniques such as magnetic resonance imaging (MRI) or computer tomography (CT) can capture wall anatomy of the carotid artery (20). Even though MRI captures the soft tissue plaque composition (21,22), it has its own challenges such as scanning cost, gantry size, and imaging of patients that have metals in their body (such as a pacemaker). CT scan, on the other hand, involves radiation which may be dangerous for soft tissues, leading to cancer (23). In such scenarios, ultrasound (US) is an effective, low cost, non-invasive, ergonomic, radiationfree, and efficient scanning tool for the assessment of stroke and cardiovascular diseases (24-28). Among different US techniques, the two most generally used are (I) color Doppler US imaging and (II) B-mode black and white (B/ W) US imaging. The color Doppler US is used to measure blood flow and pressure to detect complications in blood flow such as clots in veins and blockages in the arteries (29). However, Doppler US is dependent on velocity of blood flow which is variable. Doppler is used to measure stenosis based on pulse wave velocity (PWV) which in turn is dependent upon arterial wall stiffness (30-33). Further, Doppler spectrum gets distorted by the acoustic impedance mismatch between the fluid and the vessel walls (34) leading to low-resolution images and loss of information during color display. B-mode grayscale US, on the other hand, produces two-dimensional acoustic impedance image of the tissue (35). Currently, with the usage of harmonic and compound imaging by US original equipment manufacturers, the image resolution is very high for B-mode B/W US images. Therefore, high-resolution B-mode gravscale US scans are much preferred over color Doppler as the former can differentiate between adjacent tissues of different acoustic impedance (36).

Considerable work has been done recently which includes US scans for different applications such as lumen diameter detection and its measurement (37,38), intimamedia complex (IMC) detection and its measurement (39), morphology-based tissue characterization and its risk stratification (40,41), and even linking carotid plaque burden to cardiovascular risk such as coronary syntax score (42), HbA1c (43), ABI (44,45). These techniques are an attempt to make the system automated, but these previous lumen region extraction techniques are noise sensitive (37,46,47). Also, the previously developed feature extraction algorithms were limited to a certain type of image, such as high resolution, non-curved carotids in carotid US scans, and thus cannot be generalized. As a result, the automated outputs of feature extraction techniques are not very robust. The fuzziness and low contrast of images also contribute to an erroneous output. Further, none of the previous methods are intelligence-based. The concept of training and testing paradigm using learning-based approaches seems to be missing in previous approaches. There are no strong imagebased tools for stenosis measurement.

Deep learning (DL) is an emerging area which has shown to have promised in characterizing the disease (48-50). DL, unlike conventional methods, generates features internally. DL consists of multiple layers of neural networks which are trained on a large set of data where the ground truth delineations are given and then used to predict segmentations on a test set of data. Therefore, the deep layers of the DL-based system encompass an intelligence

Table 1 Patient demographics

SN	Parameter	Value	P value*
1	Gender (male)	77.0%	NC
2	Mean age	69±11 years	NC
3	Low-density lipoprotein cholesterol (mg/dL)	99.80±31.30	0.1735
4	High-density lipoprotein cholesterol (mg/dL)	50.40±15.40	<0.001
5	Total cholesterol (mg/dL)	174.6±37.7	0.0098
6	HbA1c (mg/dL)	5.8±1.0	<0.001
7	Cr (mg/dL)	1.6±2.1	<0.001
8	CKD stage	3.1±0.9	< 0.00
9	eGFR, %	45.4	NC
10	History of CVD, %	12.1	NC
11	Smokers, %	45.1	NC
12	Total patients undergoing dyslipidemia, %	57.3	NC
13	Total patients taking statin, %	45.6	NC

\*, computed using Chi square test.

and learning-based approach towards lumen detection. Due to the combination of high-resolution B-mode US scans along with the powerful DL strategies, we hypothesize that DL-based solution will lead to more accurate stenosis severity index (SSI) measurements and risk stratifications. Thus, the objective of this study is three folds: (I) automated and accurate lumen region detection in the CCA US scans, followed by the LI-near and LI-far border detection; (II) reliable and accurate SSI measurement using NASCET criteria (51-54) and (III) risk characterization and stratification based on SSI. The novelty of this paper for lumen detection is the usage of an intelligence-based paradigm along with multiresolution framework (where images are downsampled) which further improves the speed of the DL system. In this regard, we propose a DL-based lumen detection system for characterization of stroke risk.

The DL-system implementation for SSI computation consists of four sub-stages: stage-I is the pre-processing stage where the US scans are cropped and the non-tissue region is removed in an automated fashion. Following this cropping stage, downsampling is performed for faster processing time during DL performance. Stage-II is the core of the DL-system where the features are extracted using an encoder that uses the training pool of carotid US scans. The radiologist delineated borders of the US CCA images act as the gold standard (GS) for the DL system. The binary lumen is extracted from these delineated borders and used for pixel-to-pixel classification for lumen detection. The extracted features and GS are input to the decoder, which up-samples the encoded image to match its original dimensions and classify each pixel of the original image as either lumen or non-lumen. The encoder and decoder together are part of a network architecture known as a fully convolution network (FCN) (55-57) that is used for the detection of the lumen region. Stage-III consists of LI-near and LI-far detection after up-sampling the regional carotid cropped images. Finally, stage-IV consists of risk characterization and performance evaluation.

The paper is organized as follows: section 2 presents the patient demographics and methodology, while section 3 provides the results. The statistical evaluation is presented in section 4. Section 5 presents the discussion, and finally the conclusions are presented in section 6.

#### **Methods**

#### **Demographics**

Two hundred and four patients including 157 men/47 women (77.0% vs. 23.0%) with mean age 69±11 years were selected. We analyzed 407 CCA B-mode US images from the 204 patient's left and right carotid arteries: right carotid artery image for one patient was not available. The ethics committee of Toho University, Japan approved the IRB and informed consent was obtained from all the patients. These patients had a mean haemoglobin (HbA1c), lowdensity lipoprotein cholesterol, high-density lipoprotein cholesterol, total cholesterol and Cr of 5.8±1.0 mg/dL (P<0.001), 99.80±31.30 mg/dL (P=0.1735), 50.40± 15.40 mg/dL (P<0.001), 174.6±37.7 mg/dL (P=0.0098) and 1.6±2.1 mg/dL (P<0.001), respectively. This cohort had 92 regular smokers. The hypertensive and high cholesterol patients were on adequate medication: statin was prescribed to 93 patients to lower the cholesterol levels, while 84 of them received renin-angiotensin system antagonists. The baseline characteristics are shown in Table 1.

#### US image acquisition

A sonographic scanner (Aplio XV, Aplio XG, Xario; Toshiba, Inc., Tokyo, Japan) equipped with a 7.5-MHz



Figure 2 Global DL-system consisting of four stages: pre-processing stage, lumen detection stage, LI-near/LI-far boundary extraction system and stenosis measurement system and performance evaluation (PE). DL, deep learning.

linear array transducer was used to examine the left and right carotid arteries. All scans were performed under the supervision of an experienced sonographer (with 15 years of experience). High-resolution images were acquired according to recommendations by the American Society of Echocardiography Carotid Intima-Media Thickness Task Force. The mean pixel resolution of the database was  $0.05\pm0.01$  mm/pixel.

#### Risk characterization

This dataset consisting of 204 subjects is in the subclinical atherosclerosis zone and had moderate stenosis. In this cohort, the patients did not have high stenosis (in the range of 60–80%), which is typically adopted for endarterectomy or stenting (58,59). Based on our demographics, the cohort was stratified into three risk classes: low, moderate and high-risk.

#### Manual lumen border delineation

The tracing of the lumen and adventitia border was implemented using ImgTracer<sup>TM</sup>, user-friendly commercial software (60). A total of 15–25 edge points, covering distal, mid and proximal regions of the artery with respect to the bulb were selected in order to delineate the lumen-intima (LI)-near and LI-far boundaries of the common carotid artery (CCA). The number of points varied depending upon the length of the carotid artery. The observer had the ability to zoom in the carotid US scan for visualization of the wall region. The output of the ImgTracer<sup>TM</sup> was the ordered set of traced (x, y) coordinates.

#### Methodology

Our methodology originates from the spirit of an intelligence-based model for lumen border delineation. The vigor was further intensified by the role of DL-based model for feature extraction (61,62). The DL system adapted a multiresolution framework as developed before (63), however the previous work did not use DL-

based techniques. Our current system is represented in *Figure 2*, consisting of four different stages: pre-processing stage, lumen delineation stage, LI-far, and LI-near wall delineation stage, and stenosis measurement stage. In the multiresolution stage, the images were pre-processed for removal of non-tissue region, followed by downsampling. The second stage was the core stage where DL-based model was applied to the US scans for lumen detection. The third stage consisted of the delineation of the LI-far and near walls borders. The last and the fourth stage consisted of stenosis measurement and its performance evaluation. The detailed overall system along with each of the subsystems is shown in *Figure 3*.

#### Global system

The global architecture for the DL-based system showing the four stages is presented in Figure 3. The corresponding local architecture for DL engines is shown in Figure 4. In the overall global system, the images are first pre-processed before being fed to the DL system. In this study, we have used two DL systems (55,56): DL encoder for feature extraction and DL decoder for lumen regional detection. The GS is the binary lumen extracted from radiologist delineated borders of the CCA images. Unlike previous methods which used external feature extraction techniques, the DL-based encoder generates its own features which allow it to perform better pixel-to-pixel classification. The higher-level features have better distinctive quality compared to the features obtained from the conventional feature extraction algorithms. Additionally, the depth of DL networks allows superior and better learning, which in turn leads to accurate results, compared to conventional methods. The second stage i.e., the DL-based decoder is used for lumen detection. The encoder and decoder together are part of a fully convolutional network (FCN) (57). The FCN implements an operation called skipping which allows outputs of intermediate layers to combine. This allows an effective combination of lower and higher-level features, thus allowing more effective detection. Note that the stage-II (DL stage) shown in a dotted rectangle in



Figure 3 Global DL-based segmentation model. DL, deep learning.

Figure 3 is the combination of encoder and decoder for both training-phase and testing phase of the DL system. This means for both training and testing phases, one requires encoder-decoder combination, as shown in Figure 4 (left and right half of the figures separating using the dotted line in the middle). The role of the encoder is to convolve and downsample the image with a deck of filters to generate the grayscale features, given the GS binary lumen region. On the other side, the role of the decoder is to upsample the image, while utilizing the GS in the training phase to generate the trained weights. The process of encoderdecoder combination is reused in the testing phase, where the weights from the training phase are used to encode and subsequently decode a test image without being given a ground truth segmentation. Thus, the encoder of the testing phase accepts the trained weights (left half) and incoming test image, yielding the test image feature sets. Finally, the decoder of the testing phase yields a binary lumen region by upsampling the transformed test features

by using the trained weights. Note that, this is the first time that DL has been used for lumen region detection and determining LD for stenosis detection. Once the images are delineated LI-far and LI-near walls are extracted, and stenosis is estimated.

#### Pre-processing stage

The pre-processing stage includes four steps: (I) automated image cropping; (II) lumen binarization; (III) image reduction/cleaning and (IV) image down-sampling. In the cropping step, all the background information, consisting of text or acquisition parameter listings, etc. is removed, ensuring the tissue region as region-of-interest (64). This process is applied to both raw US scan and corresponding ground truth (or GT) traced images. In step (II), the binarization step, binary lumen region is extracted from the GT traced images. This is based on the LI-near and LIfar borders traced by the trained physician. The third step



Figure 4 Local DL-based segmentation model in the training/testing paradigm. DL, deep learning.

consists of image cleaning by image reduction. Since the tissue information generally includes noisy edges on the left and right sides of the image due to the poor contact of the probe with the neck during image acquisition, one, therefore, would require cleaning these edge pixels by reducing these image regions containing these edges. This is implemented by reducing the image by 10% from left and right sides, thereby yielding crisp and sharp image. The last step consists of down-sampling to half the size for faster computation in DL framework.

#### Encoder phase

Encoder phase requires 13 convolution and five maxpooling layers of VGG16 network architecture (55,56) for feature extraction. One of the main advantages of using



**Figure 5** Encoder-decoder based DL architecture [reproduced with permission from (50)]. DL, deep learning.

the VGG architecture is the usage of smaller kernels (convolution filters) which helps in retaining finer properties of the images in the subsequent layers. Each max-pool layer down-samples the features of its previous convolution layer. The activation function used was rectified linear unit (ReLu) which is given by:

$$f(x) = \max(0, x)$$
<sup>[1]</sup>

The ReLu function provides faster training as compared to more commonly used sigmoid function, since the derivative of sigmoid is very small and therefore updates to lower level weights become negligible i.e., so-called, vanishing gradient problem. The encoder weights are initialized using pre-trained VGG weights on ImageNet. As the weights of VGG16 are trained in the training phase, high-level features are generated which are used for segmentation by the decoder. The last three layers of the VGG16 are replaced by decoder to perform the segmentation.

#### Decoder phase

The decoder employs three up-sampling layers of FCN. It employs skip connections which helps it to recover the full spatial resolution at the network output, paving the way for semantic segmentation. The skip operation is performed to recover spatial information lost during the down-sampling process. The skip operation is described briefly in the discussion section. Our model uses two skip operations for spatial information recovery, thereby producing highly accurate and precise segmentation output. The upsampling/transpose convolution layers are also initialized using VGG weights. The skip connections are initialized randomly using very small weights. The encoder-decoder model is shown in Figure 5. The loss function is stated as the difference between desired and predicted probability distributions. The DL model uses this loss function to reduce the prediction error. The loss function  $\mathcal{L}$  is given by:

$$\mathcal{L}(\wp, \mathbb{Q}) = \frac{1}{|N|} \sum_{n \in N} \sum_{c \in C} \mathbb{Q}_n(c) \log \wp_n(c)$$
[2]

Where,  $\mathcal{L}(\wp, \mathbb{Q})$  is the loss function, given the prediction  $\wp$  and ground truth  $\mathbb{Q}$ , c is the current label, C is the total number of labels, n is the current image, and N is the total number of images.  $\mathcal{L}$  considers the uncertainty of the prediction  $\wp$  based on how much it varies from the actual label  $\mathbb{Q}$ .  $_n(c)$  is the predicted output for  $n^{th}$  image for class c. Similarly,  $\mathbb{Q}_n(c)$  is the ground truth for  $n^{th}$  image for class c. Note that this equation is applied for loss computation using  $\wp_n$  and  $\mathbb{Q}_n$  for each  $n^{th}$  image. The average loss then is computed over all the "N" number of images. A standard

Table 2 Mean PoM stenosis (%) of DL1 w.r.t GT1

SN	# Images	Mean DL1 stenosis (%)	Mean GT1 stenosis (%)	Mean PoM1 (stenosis) (%)
1	10	57.2	57.2	93.7
2	50	42.1	40.8	94.6
3	100	35.2	33.1	95.6
4	150	31.2	28.9	96.1
5	200	28.4	26.0	96.3
6	250	26.1	23.8	96.2
7	300	24.2	21.9	96.4
8	350	22.5	20.3	96.5
9	407	20.5	18.6	96.7

DL, deep learning.

50% dropout strategy is used to prevent overfitting (56).

#### Border extraction and carotid stenosis measurement

The segmented images are up-sampled to their original size. The LI-far and LI-near borders are then extracted from these segmented images. We applied NASCET criteria (46) for computing the SSI i.e., the ratio of minimum LD ( $LD_{min}$ ) to normal LD ( $LD_{max}$ ) which is mathematically given as:

$$SSI(\%) = \left(1 - \frac{LD_{\min}}{LD_{nor}}\right) \times 100\%$$
[3]

#### **Results**

#### Cross-validation protocol

The dataset consisted of 407 US scans taken from 204 patients. Three novice tracers were employed to develop three sets of GT's. The cross-validation protocol for the DL system is shown in *Figure 4. K10* cross-validation was applied, i.e., 90% of data was used for training and 10% was used for testing. Thus, the entire dataset was randomly divided into ten partitions. Ten random combinations were created from these ten partitions. Each combination consisted of two sections: the first section constituted nine parts for training i.e., 90% of the images and the other constituted one part for testing i.e., 10% of the images. The combination was fed into the DL system for training and testing phases. The process was repeated for all the other

combinations. This process was repeated for all the three DL systems. At the end of the experiment, results were accumulated and the performance was computed.

#### Precision-of-merit (PoM) definition for SSI and LD

The PoM and is given as:



where SSI is defined mathematically in Eq. 3 and discussed here again as ready reference i.e.,

$$\mathbf{SSI(\%)} = \left(1 - \frac{LD_{\min}}{LD_{nor}}\right) \times 100\%$$

N is the total number of images in the cohort, "dl" represents the DL paradigm, "gt" represents the ground truth and "i" represents the image number. The PoM values reflect how close the automated DL method is to the manual tracings. Along the same lines, if  $LD_{dl}(i)$  and  $LD_{gt}(i)$  is the lumen diameters corresponding to DL and GTs, then the PoM based on LD which is given as:

$$PoM_{LD}(\%) = 100\% - \left\{ \frac{\sum_{i=1}^{N} \left( \frac{\left| LD_{dl(i)} - LD_{gt(i)} \right|}{LD_{gt(i)}} \right)}{N} \right\} \times 100\% [5]$$

A detailed computation of  $PoM_{LD}$  using polyline distance metric (PDM) is given in supplementary.

#### Results of LD/SSI PoMs using three DL systems

Since there are three kinds of DL methods, we have three PoMs corresponding to LD error and three PoMs corresponding to SSI. *Tables 2* and *3* demonstrate the PoMs for stenosis and LDs, while the plot can be seen in *Figure 6*. Correspondingly, the tables and plot for DL2 are shown in *Tables 4,5* and *Figure 7*, while for DL3, the PoMs tables and plot can be seen in *Tables 6,7* and *Figure 8*. All the systems

Table 5 ED absolute mean error (inin) and 1 of (76) computed between DET and GTT								
Range (mm)	# Images w.r.t GT1	# Images w.r.t DL1	Mean LD using GT1 (mm)	Mean LD using DL1 (mm)	LD absolute mean error (mm)	Chi-squared test w.r.t LD error	PoM1 (LD) (%)	
<8.5	406	406	6.07±0.91	6.09±0.94	0.19±0.27	<0.001	96.71	
<8.0	399	396	6.0±0.85	6.04±0.87	0.20±0.27	<0.001	96.73	
<7.5	382	377	5.90±0.78	5.96±0.80	0.19±0.27	<0.001	96.76	
<7.0	346	341	5.82±0.70	5.82±0.71	0.19±0.27	<0.001	96.73	
<6.5	282	278	5.61±0.61	5.63±0.63	0.18±0.27	<0.001	96.72	
<6.0	190	200	5.31±0.49	$5.38 \pm 0.55$	0.20±0.31	<0.001	96.32	
<5.5	113	110	5.01±0.42	5.07±0.51	0.21±0.37	<0.001	95.71	
<5.0	49	45	4.64±0.37	4.71±0.54	0.27±0.53	<0.001	94.15	

Table 3 LD absolute mean error (mm) and PoM (%) computed between DL1 and GT1

DL, deep learning.



Figure 6 Percentage stenosis plot for DL1 against GT1. DL, deep learning.

Table 4 Mean PoM stenosis (%) of DL2 w.r.t GT2

# Images	Mean DL2 stenosis (%)	Mean GT2 stenosis (%)	Mean PoM2 (stenosis) (%)
10	48.9	54.6	92.8
50	37.4	39.7	94.1
100	31.0	32.2	95.0
150	26.9	27.9	95.6
200	24.1	25.1	95.9
250	21.9	22.8	96.0
300	20.2	21.0	96.0
350	18.7	19.4	96.1
407	17.0	17.7	96.1

DL, deep learning.

i.e., DL1, DL2, and DL3 were evaluated for fixed number of iterations which was empirically computed to be 4,000.

#### LD/SSI estimation when trained using GT1

The experiment was conducted on 407 images based out of three sets of GTs. Almost 40% of the images showed the presence of stenosis. The results were found to be the same across all three GTs confirming that DL was able to detect stenosis accurately. It is observed from *Table 2* (row #9) that the overall mean stenosis for DL1 for 407 images was 20.5%, while for GT1 it was 18.6%. It is also observed from *Table 3* that the mean LD error between DL1 and GT1 was  $0.19\pm0.27$  mm (P<0.001). The stenosis plot for DL1 *vs.* GT1 is shown in *Figure 6*. It was observed that DL1 followed GT1 in the beginning for high stenosis among 20–25patients and then rises above the GT1 curve for 380 patients and finally meets GT1 at the end. The PoM between DL1 and GT1 was 96.7%.

#### LD/SSI estimation when trained using GT2

We perceive in *Table 4* that DL2 and GT2 mean stenosis is almost same at 17.0% and 17.7%, respectively and PoM value corresponding to both DL2 and GT2 were equal to 96.1%. From *Table 5*, we observed that the mean LD error for DL2 and GT2 was  $0.23\pm0.23$  mm (P<0.001). The stenosis plot for DL2 vs. GT2 is shown in *Figure 7*. It is seen that for DL2 system, stenosis curve followed closely for all the patients when using GT2. The curve clearly showed that DL-based system gave better results when using GT2 for training.

		- ( )	(ii) Friender				
Range (mm)	# Images w.r.t GT2	# Images w.r.t DL2	Mean LD using GT2 (mm)	Mean LD using DL2 (mm)	LD absolute mean error (mm)	Chi-squared test w.r.t LD error	PoM2 (LD) (%)
<8.5	406	406	5.91±0.88	6.05±0.91	0.23±0.23	<0.001	96.09
<8.0	403	400	5.89±0.84	6.01±0.85	0.23±0.23	<0.001	96.08
<7.5	387	384	5.81±0.77	5.93±0.78	0.23±0.23	<0.001	96.04
<7.0	364	354	5.72±0.70	5.82±0.70	0.23±0.23	<0.001	95.90
<6.5	311	293	5.56±0.60	5.64±0.62	0.24±0.24	<0.001	95.76
<6.0	230	203	5.31±0.51	5.38±0.54	0.25±0.25	<0.001	95.31
<5.5	130	113	4.98±0.42	5.08±0.51	0.26±0.28	<0.001	94.72
<5.0	54	45	4.59±0.38	4.71±0.50	0.31±0.33	<0.001	93.23

Table 5 LD absolute mean error (mm) and PoM (%) computed between DL2 and GT2

DL, deep learning.



Figure 7 Percentage stenosis plot for DL2 against GT2. DL, deep learning.

Table 6 Mean PoM stenosis (%) of DL3 w.r.t GT3

# Images	Mean DL3 stenosis (%)	Mean GT3 stenosis (%)	Mean PoM3 (stenosis) (%)
10	58.4	52.6	91.2
50	43.6	38.3	93.7
100	36.3	32.2	95.4
150	32.2	28.5	95.7
200	29.3	26.0	95.7
250	26.9	23.9	95.9
300	25.0	22.2	96.1
350	23.3	20.7	96.2
407	21.3	19.0	96.4

DL, deep learning.

#### LD/SSI estimation when trained using GT3

The mean stenosis values for DL3 and GT3 were 21.3% and 19.0%, respectively as seen in *Table 6*. The PoM value observed with respect to GT3 was 96.4%. The mean LD error was  $0.21\pm0.19$  mm (P<0.001) as observed from *Table 7*. The DL3 vs. GT3 curve is shown in *Figure 8*. This curve also showed that the DL3 values are in close correlation with GT3. Further, the results clearly showed having moderate stenosis.

# Correlation between DL stenosis and GT stenosis for three DL systems

The regression plots for DL1 vs. GT1, DL2 vs. GT2 and DL3 vs. GT3 are shown in *Figure 9A,B,C*, respectively. The results show high correlation coefficients of i.e., 0.93 (P<0.0001), 0.94 (P<0.0001), and 0.93 (P<0.0001), respectively. Overall, the results clearly demonstrated that DL is better trained to predict accurate stenosis measurements with best results shown for manual reader GT2.

The results clearly showed that the first two objectives of our DL-based system were successfully accomplished: the first objective being detection the lumen region followed by border delineation and second objective being stenosis computation using NASCET formulation.

## Bland-Altman plots of DL-based stenosis against GT stenosis for three systems

The Bland-Altman plots for DL1 w.r.t GT1, DL2 w.r.t

	solute mean er		( <i>n</i> ) computed betw	allu GT3				
Range (mm)	# Images w.r.t GT3	# Images w.r.t DL3	Mean LD using GT3 (mm)	Mean LD using DL3 (mm)	LD absolute mean error (mm)	Chi-squared test w.r.t LD error	PoM3 (LD) (%)	
<8.5	406	406	6.00±0.90	6.01±0.94	0.21±0.19	<0.001	96.40	
<8.0	401	400	5.97±0.85	5.97±0.89	0.21±0.21	<0.001	96.38	
<7.5	387	380	5.90±0.79	5.88±0.81	0.22±0.21	<0.001	96.33	
<7.0	350	349	5.76±0.69	5.77±0.74	0.21±0.20	<0.001	96.34	
<6.5	293	292	5.58±0.60	5.59±0.66	0.21±0.20	<0.001	96.31	
<6.0	209	207	5.31±0.50	5.33±0.58	0.22±0.21	<0.001	95.92	
<5.5	127	119	5.01±0.41	5.02±0.51	0.20±0.18	<0.001	95.98	
<5.0	50	56	4.60±0.35	4.67±0.42	0.19±0.15	<0.001	95.97	

Table 7 LD absolute mean error (mm) and PoM (%) computed between DL3 and GT3

DL, deep learning.



Figure 8 Percentage stenosis plot for DL3 against GT3. DL, deep learning.

GT2 and DL3 w.r.t GT3 are given in *Figure 10A,B,C*, respectively. The plots show the means of DL and GT stenosis against the differences. The Bland-Altman plot is an effective tool to display the bias of DL and GT readings of stenosis measurement. From the three plots with respect to GT1, GT2, and G3, it is observed that the bias values were –1.8, 0.2 and –1.9, respectively showing that DL with respect to GT readings for GT1 and GT3 are consistent with each other while GT2 shows very low bias.

# Statistical tests, variability analysis, and risk characterization

Statistical tests are an integral part of data analysis (60,65-67). We perform the following six tests: Wilcoxon

test, Mann-Whitney, paired *t*-test, ANOVA, Kruskal-Wallis and Friedman test. Part two consists of inter-operator variability analysis. The performance evaluation of the system is then discussed at the end of this section.

#### Statistical tests

Wilcoxon test, Mann-Whitney, paired *t*-test, ANOVA, Kruskal-Wallis and Friedman tests were performed to analyze the relationship between DL and GT readings. The P values from Wilcoxon test for DL1, DL2, and DL3 are below 0.0001. The P values from paired t-test for DL1, DL2, and DL3 were also below 0.0001. The P values from Mann-Whitney test for DL1, DL2 and DL3 were P=0.0005, P=0.0012 and P=0.0017, respectively. Therefore, for the Mann-Whitney test, the null hypothesis shows that data is taken from same distribution cannot be retained for DL1, DL2, and DL3. The analogous box plots for DL1, DL2 and DL3 systems using Wilcoxon test, Paired *t*-test and Mann-Whitney test are shown in *Figure 11A,B,C*, *Figure 12A,B,C*, and *Figure 13A,B,C*, respectively. The corresponding results are given in *Tables 8-10*.

We performed ANOVA test (P<0.001 for DL1, P<0.001 for DL2, P<0.001 for DL3) which showed the null hypothesis is rejected such that the data was taken from same distribution cannot be retained for DL1, DL2, and DL3. Kruskal-Wallis test was also performed (P=0.466956 for DL1, P=0.448880 for DL2, P=0.449992 for DL3) which indicated that the null hypothesis is accepted such that the data is taken from same distribution can be retained for DL1, DL2, and DL3. Finally, Friedman test was performed (P<0.00001 for DL1, P<0.00001 for DL2, P<0.00001



Figure 9 Regression plot: (A) for DL1 vs. GT1; (B) for DL2 vs. GT2; and (C) for DL3 vs. GT3. DL, deep learning.

DL3) which indicated the null hypothesis is rejected. All results related to ANOVA, Kruskal-Wallis, Friedman tests are given in *Tables 11-13*.



Figure 10 Bland-Altman plot: (A) for DL1 vs. GT1; (B) for DL2 vs. GT2; and (C) for DL3 vs. GT3. DL, deep learning.

#### Inter-operator variability

The inter-operator variability shows how the trained DL systems would fare when they are plotted with each other



Figure 11 Wilcoxon box plot: (A) for DL1 vs. GT1; (B) for DL2Figurevs. GT2; and (C) for DL3 vs. GT3. DL, deep learning.DL2 vs.

Figure 12 Paired t-test box plot: (A) for DL1 vs. GT1; (B) for DL2 vs. GT2; and (C) for DL3 vs. GT3. DL, deep learning.





Table 8	Wilcoxon	test	parameters
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1			
Parameters	DL1	DL2	DL3
Number of positive differences	278	271	267
Number of negative differences	129	136	140
Large sample test statistic Z	-8.165608	-6.319204	-7.882409
Two-tailed probability	P<0.0001	P<0.0001	P<0.0001
DI deep learning			

DL, deep learning.

#### Table 9 Paired *t*-test parameters

Parameters	DL1	DL2	DL3
Mean difference	1.9223	1.9224	2.0314
Standard deviation of differences	4.8163	4.8832	4.8928
Standard error of mean difference	0.2387	0.2472	0.2425
95% CI	1.4530 to 2.3916	1.5388 to 2.3544	1.5547 to 2.5082
Test statistic t	8.052	-0.406	8.376
Degrees of freedom (DF)	407	407	407
Two-tailed probability	P<0.0001	P<0.0001	P<0.0001

DL, deep learning.

#### Table 10 Mann-Whitney test parameters

Parameters	DL1	DL2	DL3
Average rank of first group	378.7445	389.2162	381.5774
Average rank of second group	436.2555	433.7838	433.4226
Mann-Whitney U	71,121.00	71,312.00	72,274.00
Test statistic Z (corrected for ties)	3.489	3.451	3.146
Two-tailed probability	P=0.0005	P=0.0012	P=0.0017

DL, deep learning.

	1		
Parameters	DL1	DL2	DL3
Sum of squares	37,096.4027	38,596.4637	38,749.5063
DF	406	406	406
F-ratio	3.405	310.764	2.581
Significance	P<0.001	P<0.001	P<0.001

Table 11 ANOVA test parameters

DL, deep learning.

#### Table 12 Kruskal-Wallis test parameters

Parameters	DL1	DL2	DL3	
Test statistic	405.6928	405.9889	405.9087	
Corrected for ties Ht	405.6930	405.9889	405.9088	
Degrees of freedom (DF)	404	403	403	
Significance level	P=0.466956	P=0.448880	P=0.449992	
DL. deep learning.				

#### Table 13 Friedman test parameters

Parameters	DL1	GT1	DL2	GT2	DL3	GT3
Ν	407	407	407	407	407	407
Minimum	5.3298	4.0761	3.8359	5.323	5.2161	5.0032
25th percentile	13.063	11.416	10.705	10.597	13.698	12.126
Median	18.114	15.893	14.548	15.086	18.391	16.8
75th percentile	24.88	22.16	21.434	21.398	25.231	22.562
Maximum	52.644	53.519	54.808	52.663	53.611	52.189
F	60.9085		49.0025		40.8	3077
Significance	P<0.00001		P<0.00001		P<0.0	00001

DL, deep learning.

(65,68). A regression curve was plotted between each of the trained DL. The correlation coefficient was: 0.93 (P<0.0001) for DL1 *vs.* DL2, 0.93 (P<0.0001) for DL1 *vs.* DL3 and 0.92 (P<0.0001) for DL2 *vs.* DL3, showing strong correlations between them. These values also prove that DL-based system in itself is stable and reproducible. The plots for each regression i.e., DL1 *vs.* DL2, DL1 *vs.* DL3 and DL2 *vs.* DL3 are shown in *Figure 14A,B,C*, respectively. The inter-operator variability between each GT is also computed. The correlation coefficient values between GT1



**Figure 14** Regression plot: (A) for DL1 *vs.* DL2; (B) for DL2 *vs.* DL3; and (C) for DL2 *vs.* DL3. DL, deep learning.

vs. GT2, GT1 vs. GT3, GT2 vs. GT3 are found to be 0.95, 0.96 and 0.95, respectively.

#### Performance evaluation

The cumulative frequency distribution (CDF) plot (*Figure 15*) shows that for 90% of patients the stenosis



**Figure 15** CDF of stenosis error for DL1, DL2, and DL3. CDF, cumulative frequency distribution; DL, deep learning.

error is less than 10%, while for 70% of patients it is less than 5%. From these results, it can be clearly stated that DL is robust with respect to error. The signed error CDF plots for DL1, DL2 and DL3 are shown in *Figure 16A,B,C*, respectively. For DL1, it shows that for 90% of patients the stenosis error is less than 8% and greater than -5%, for DL2 the stenosis error for 90% of patients' stenosis error is less than -7% and lastly, for DL3 the stenosis error is less than 9% and greater than -5% for 90% of patients. Again, our stenosis measurement system shows encouraging results.

## Risk characterization and the ROC analysis for three DL systems

The third objective of our DL-based system was risk characterization depending on stenosis values. Our assumption about this cohort was in three classes which are: low, moderate and high risks. Since the maximum stenosis was 70%, we, therefore, stratified the cohort into three classes: low risk for less than 25% stenosis, moderate risk is between 25% and 50% stenosis and high risk for stenosis greater than 50%. Thus, the threshold points were 25% and 50%. A detailed comparison of threshold parameters is provided in the discussion section. Using these criteria, we computed our receiver operating characteristic (ROC)

![](_page_15_Figure_6.jpeg)

**Figure 16** CDF of signed stenosis error: (A) for DL1; (B) for DL2; and (C) for DL3. CDF, cumulative frequency distribution; DL, deep learning.

![](_page_16_Figure_1.jpeg)

Figure 17 ROC plot for DL1, DL2, and DL3. ROC, receiver operating characteristic; DL, deep learning.

analysis for our stenosis measurement. The ROC curves for DL1, DL2, and DL3 are shown in *Figure 17*. The low, moderate and high-risk stenosis images are shown in *Figure 18*.

#### Discussion

We proposed a study on automated stenosis measurement using a DL-based paradigm on a Japanese diabetic cohort having a risk of moderate stenosis. It is due to intelligencebased learning, that the DL system outperformed the spatial-based conventional methods for accurate lumen detection and stenosis measurement from high-resolution US images. Our DL neural network system consisted of 13 deep layers that were implemented in a downsample mode to improve the performance in speed. The second stage consists of FCN that consisted of three up-sampling layers. Three observers were used for GS tracings which were then used for three DL designs, namely: DL1, DL2, and DL3. The heart of the system was the DL itself, while the pre-processing stage consisted of data preparation. The output of the DL system was automated detection of the binary lumen region which was then used for LI-near and LI-far interface edge detection to get the final lumen borders. Using the NASCET criteria, the system was then able to automatically measure the stenosis. We stratified the cohort into three classes by adapting the two threshold

cut-off points for risk stratification. These two cut-offs were: 25% and 50% stenosis, dividing the cohort into three classes: low, moderate and high-risk patients. Using the GS stenosis as a response variable, our AUC's were 0.90, 0.94 and 0.86 for DL1, DL2 and DL3, respectively. The results can be seen in *Figure 18* where the test cases of high-, moderate- and low-risk stenosis from both GT and DL were presented. The comparative performance of DL-based system with contemporary techniques is provided in the next section.

#### Benchmarking of LD, stenosis and risk

Accurate estimation of stroke risk from examination of arterial wall is an important field of study for both neuroradiologists, vascular US specialists such as sonologists or sonographers. By using imaging techniques there are two ways to stratify risk: the first is the study of the morphology of plaque and second, by measuring the stenosis of arterial walls (46). There have been significant studies (68) on stratification of cardiovascular risk by predicting the morphology of plaque. Lal et al. (69) used B-mode US imaging to obtain the tissue composition of plaques from carotid plaque images. el-Barghouty et al. (70) used gravscale median (GSM) to stratify between low risk and high-risk plaque from CT images. Acharya et al. (41) used ML-based approach for estimating risk from plaque composition. The stratification of plaque into asymptomatic and symptomatic has been done by using a machine learning technique on B-mode US CCA images Acharya et al. (71-73).

Another way to estimate risk is to measure the narrowing of lumen i.e., stenosis in the arterial wall (74). However, stenosis measurement requires accurate delineation of LIfar and LI-near walls from CCA artery. Many studies have been conducted to detect the lumen from US CCA images. Saba et al. (67) applied a combination of Gaussian filter and spectral analysis for lumen detection from 404 US CCA images. They used two GTs for their experiments and their corresponding LD errors were 0.25±0.24 mm and 0.27±0.26 mm (Table 14: row #1). Araki et al. (47) used both-region based and boundary-based approaches for LD detection in 300 images (Table 14: row #2 and 3). The PoM value obtained for both approaches were 97.9% and 85.2%, respectively. Araki et al. (39) again used spectral analysis for LD detection (Table 14: row #4). They used two GT's whose mean LD errors were 0.26±0.28 and 0.27±0.26 mm. Krishna Kumar et al. (38) used a scale-space technique for

![](_page_17_Figure_1.jpeg)

**Figure 18** Yellow dotted lines: GT; red line: deep learning LI-near wall; green line: LI-far wall; white dotted: GT stenosis and white line: DL stenosis. Three rows: row #1 depicting low-risk stenosis for patients (A,B), row #2 depicting moderate risk stenosis for patients (C,D), and row #3 depicting high-risk stenosis for patients (E,F).

404 images using two GTs (*Table 14*: row #5). The LD errors were found to be  $0.25\pm0.24$  and  $0.27\pm0.25$  mm, respectively. The PoM values were 95.9% and 95.1%. Saba *et al.* (54) used a combination of classification tools such as level sets, region-based and boundary-based methodology for lumen detection yielding the PoM of 96.2%. Our proposed method in this study used three kinds of GTs on 407 images and obtained LD errors of  $0.19\pm0.27$  mm

(P<0.001),  $0.23\pm0.23$  mm (P<0.001) and  $0.21\pm0.19$  mm (P<0.001), respectively which are best in the AtheroEdge class from AtheroPoint, Roseville, CA, USA (*Table 14*: row #7). The corresponding PoM values using these three GTs were 96.7%, 96.1%, and 96.4%, respectively. The PoM values are lesser than the region-based method as shown by Araki *et al.* (47) (*Table 14*: row #2) while the dataset used by the DL-based system is larger compared to the region-

There is a performance in the second					
SN	Authors	Method	# images	LD error (mm)	PoM (%)
1	Saba <i>et al.</i> (67) [2015]	Gaussian filter + spectral analysis (GSA)	404	GSA1: 0.25±0.24, GSA2: 0.27±0.26	-
2	Araki <i>et al.</i> (47) [2016]	Region-based (RB)	300	_	RB: 97.9
3	Araki <i>et al.</i> (47) [2016]	Boundary-based (BB)	300	-	BB: 85.2
4	Araki <i>et al.</i> (39) [2016]	Spectral analysis	404	SA1: 0.26±0.28, SA2: 0.27±0.26	-
5	Krishna Kumar <i>et al.</i> (38) [2017]	Scale space	404	SS1: 0.25±0.24, SS2: 0.27±0.25	SS1: 95.9, SS2: 95.1
6	Biswas <i>et al.</i> (50) [2019]	Level set + region + boundary (LSRB)	100	-	LSRB: 96.2
7	Proposed	Deep learning	407	DL1: 0.19±0.27, DL2: 0.23±0.23, DL3: 0.21±0.19	DL1: 96.7, DL2: 96.1, DL3: 96.4

 Table 14 Benchmarking table for lumen diameter (LD)

DL, deep learning.

 Table 15 Benchmarking table for stenosis cut-off for stenosis risk

SN	Authors	Method	# patients	Stenosis cut-offs
8	Randoux <i>et al.</i> (75) [2001]	Manual	22	Risk: SSI <50%
9	Nicolaides et al. (76) [2005]	Manual	1,115	Low risk: SSI <30%; mild risk: 30%< SSI <49%; high risk: SSI >50%
10	Kakkos <i>et al.</i> (77) [2009]	Manual	821	Risk: 60%< SSI <99%
11	Schneider et al. (78) [2010]	Manual	-	Risk: 60%< SSI <99%
12	Proposed	Deep learning	204	Low risk: SSI <25%; mild risk: 25%< SSI <50%; high risk: SSI >50%

SSI, stenosis severity index.

based method (407 US scans *vs.* 300 US scans). The results clearly proved that DL-based system yielded better accuracy compared to contemporary techniques.

There is however a need for standardization of risk of stroke by stenosis measurement for patients since it sets out the future course of treatment. In this regard, Randoux *et al.* (75) concluded that endarterectomy in patients with symptomatic moderate carotid stenosis of 50–69% produced a moderate reduction in the risk of stroke (*Table 15*: row #8). In another study by Nicolaides *et al.* (76) followed 1,115 patients with stenosis greater than 50% (using NASCET criteria) for 6–84 months. The follow-up results showed that all cardiovascular events increased for patients greater than 50% stenosis. There was a moderate rise in all cardiovascular events for patients with stenosis between 30–49% (*Table 15*: row #9). Kakkos *et al.* (77) which again

showed that the inclusion stenosis criteria for carotid endarterectomy were greater than 60% (*Table 15*: row #10). In a similar study, Schneider *et al.* (78) stated that carotid endarterectomy or stenting in addition to medical therapy is still the best way to treat mostly asymptomatic patients with 60% to 99% carotid stenosis (*Table 15*: row #11). As discussed earlier, our dataset is primarily diabetic, in the subclinical atherosclerosis zone and has moderate stenosis. So, the dataset has been stratified into three risk zones: lowrisk consisting of 25% stenosis or less, mild-risk, where stenosis ranged from 25% to 50% and high-risk when SSI was greater than 50% (*Table 15*: row #12).

#### A short note on DL

There are two paths in the FCN: contraction path and

expansion path. In the contraction path, the features are down-sampled at intermediate layers by using convolution and pooling operations. Similarly, in expansion path, the transpose of convolution is applied to up-sample the features. Skip operation is applied to skip features in the contracting path to intermediate layers in expansion path to recover spatial information lost during down-sampling in the expansion path. It is done by merging skipped features from various resolution layers in the contracting path with input features in the expansion path. As a result, highly accurate detection output is obtained from the FCN. In our model as shown in Figure 5, we have applied two skipping operations. The first set of skipped features were extracted from fourth Max Pool layer of encoder and merged with the input to second up-sampling layer in decoder. The second set of skipped features were extracted from Max Pool layer 3 of encoder and merged with the input to third up-sampling layer in decoder. DL for segmentation has also been applied for carotid intima-media thickness (49) and lumen diameter measurement (50).

#### Strengths and weaknesses

This is the first application of DL design in US-based stenosis measurement. The application of skip operation (where lower layer and higher layer features were combined and up-sampled) gave better detection results thereby reducing the wall errors and improving performance of the system. The inter-operator variability test was performed which showed that the proposed DL system is stable. However, there are slight differences between two curves for DL as shown in *Figure 6* and *Figure 8*, which clearly showed that a greater number of iterations during DL training is required for better performance and reduction of overall errors. Even though we had about 400 US scans, more images are required to see its effect on the DL system.

#### Conclusions

This study showed the first application of DL in: (I) lumen detection; (II) near/far wall interface detection; and (III) stenosis measurement. Against conventional methods, DL showed higher accuracy with considerable error reduction. We have applied multiresolution processing before feeding data into the two-stage DL system. The performance parameters of our DL system were compared with other conventional methods implemented earlier and it showed an overall improvement in stenosis error.

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None.

#### Footnote

*Conflicts of Interest*: The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The Study was approved by Toho University Japan (Ohashi Ethics Committee, Authorization No. 13-56), and all patients provided written informed consent.

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#### **Polyline distance metric (PDM)**

The PDM (79) is used to measure LD between LI-far and LI-near interfaces. The PDM computation is given as follows: Let the first and second borders be denoted as  $B_1$  and  $B_2$ . Let the reference point on  $B_1$  be vertex  $V_1$  and the segment in  $B_2$  be defined by vertices  $V_2$  and  $V_3$ . Let the distance between  $V_1$  and  $V_2$  be  $d_1$  and the distance between  $V_1$  and  $V_3$  be denoted as  $d_2$ . Let  $D(V_1,L)$  be the polyline distance between the vertex  $V_1:(x_1,y_1)$  on  $B_1$  and line segment L formed by two points  $V_2:(x_2,y_2)$  and  $V_3:(x_3,y_3)$ . Let delta ( $\delta$ ) be the distance of the reference point,  $V_1$  towards the line segment L. The perpendicular distance between the line segment L and the reference point,  $V_1$ , is given by  $d_p$ . Then, the polyline distance  $D(V_1,L)$  can be defined as:

$$D(V_1, L) = \begin{cases} \left| d_p \right| & 0 < \delta < 1\\ \min(d_1, d_2) & \delta < 0, \delta > 1 \end{cases}$$
[6]

where,

$$d_{1} = \sqrt{\left(x_{1} - x_{2}\right)^{2} + \left(y_{1} - y_{2}\right)^{2}}$$
[7]

$$d_2 = \sqrt{\left(x_1 - x_3\right)^2 + \left(y_1 - y_3\right)^2}$$
[8]

$$\delta = \frac{(y_3 - y_2)(y_1 - y_2) + (x_3 - x_2)(x_1 - x_2)}{(x_3 - x_2)^2 + (y_3 - y_2)^2}$$
[9]

and

$$d_{\nu} = \frac{(y_3 - y_2)(x_2 - x_1) + (x_3 - x_2)(y_1 - y_2)}{\sqrt{(x_3 - x_2)^2 + (y_3 - y_2)^2}}$$
[10]

The process to obtain  $D(V_1,L)$  is repeated for the rest of the points of the contour  $C_i$  and is given by:

$$D(B_1, \mathcal{B}_2) = \sum_{i=1}^{N} D(V_i, S_{C_2})$$
[11]

where N is the total number of points on  $B_1$  and  $S_{C2}$  is the segment on contour  $B_2$ . This algorithm is repeated in reverse, where  $B_2$  becomes the reference boundary and  $B_1$ becomes the segmented contour. The reverse is represented as  $D(B_2, B_1)$ . Finally, by combining both  $D(B_1, B_2)$  and  $D(B_2, B_1)$ , we obtain the PDM which is given by:

$$D_{PDM}(B_1:B_2) = \frac{D(B_1, B_2) + D(B_2, B_1)}{(\#points \in B_1 + \#points \in B_2)}$$
[12]

#### **LD** measurement

The LD for patient *i* is computed as the PDM between the LI-far wall  $(LI_{far}(i))$  and LI-near  $(LI_{near}(i))$  wall for the patient, which is given by:

$$LD(i) = D_{PDM}(LI_{far}(i) : LI_{near}(i))$$
[13]

#### **PoM measurement**

The PoM<sub>LD</sub>(%) is computed from  $LD_{dl}$  and  $LD_{gt}$  for all patients.

$$PoM_{LD}(\%) = 100\% - \left(\frac{\sum_{i=1}^{N} \frac{\left|LD_{dl(i)} - LD_{gt(i)}\right|}{LD_{gt(i)}}}{N}\right) \times 100\% \quad [14]$$

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